A. ETHICS PANEL

1. MEMBERSHIP

The Allegany County Board of Education Ethics Panel shall consist of five members, who:

(a) shall be residents of Allegany County;
(b) shall not be members of the Board of Education, subject to the Board’s control, an employee of the Board, employed by a business entity subject to the authority of the Board, or a spouse of any Board member;
(c) shall be appointed by the Board of Education;
(d) shall be replaced in the event of death or resignation by a majority vote of the members of the Board of Education;
(e) shall be insulated from any personal, civil liability for their individual or joint actions while performing their duties as Ethics Panel members provided that all acts and duties are performed in “good faith” in arriving at any panel decision;
(f) shall receive no compensation for their services except reasonable and necessary expenses as may be provided in the Board of Education budget.

2. TERMS OF MEMBERSHIP

(a) Members shall serve for overlapping terms of five years or until their successors are appointed.
(b) A member whose term is expiring may apply for reappointment for a second term.
(c) After serving two terms, a member may be reappointed after sitting out for one year.
(d) A vacancy for an unexpired term shall be filled in the same manner as the original appointment.

3. CRITERIA FOR SELECTION OF ETHICS PANEL MEMBERS

(a) Resident of Allegany County
(b) Not a member of the Board of Education, subject to the Board’s control, employee of the Board, employee of a business entity subject to the authority of the Board, or spouse of a Board member.
(c) In addition to 3a and 3b above, the Board will consider the following in selecting members of the Ethics Panel:

(1) Balance the Ethics Panel with respect to gender, demographic makeup of the community, and educators/non-educators; and
(2) Give preference to applicants who show a genuine interest in the public school system and all children who indicate a willingness to devote the necessary time to function effectively on the Ethics Panel, who can work effectively as team members who reflect an ability to think and act objectively and independently, and who demonstrate an understanding of the responsibilities of the Ethics Panel.

4. PROCEDURES FOR FILLING VACANCIES

(a) In early April of each year, the Board of Education will serve public notice of vacancies on the Ethics Panel through the local newspaper and radio announcements. These notices will include a description of Ethics Panel responsibilities, criteria for membership, and procedures for application.
(b) The deadline for application will be the first working day of May.
(c) The application form (see Attachment 1) will be available at the Board and may be obtained in person or sent to those requesting by phone.
(d) Applications will be forwarded to the Ethics Panel for review at their May meeting.
The Ethics Panel will screen applications to determine which applicants have met the selection criteria, recommend those who seem most highly qualified to the Board of Education. All other applications will be forwarded to the Board of Education as well as those recommended by the Ethics Panel.

The Board of Education will complete the selection process at their June meeting, notify all applicants of their decision, and notify the Ethics Panel of those selected.

New members of the Ethics Panel will begin their service at the September meeting following their selection.

5. RESPONSIBILITIES

The Ethics Panel shall have the following responsibilities:

(a) devise, receive, and maintain all forms generated by the Ethics Regulations;
(b) provide advisory opinions to persons subject to the Ethics Regulations as to the applicability of these regulations to them;
(c) process and make determinations as to complaints filed by any person alleging violations of the Ethics Regulations;
(d) refer findings regarding complaints and other enforcement matters to the Board of Education for action;
(e) conduct an information program regarding the purposes and application of the Ethics Regulations.

6. OFFICERS

At the first quarterly meeting of the school year, the Ethics Panel shall designate a Chairperson who will call meetings and preside at meetings and who shall appoint a secretary to keep accurate records of the meetings.

7. MEETING

The Ethics Panel will convene on an "as-needed" basis.

8. BOARD SUPPORT

(a) The Ethics Panel shall be assisted in carrying out its responsibilities by the Board Attorney.
(b) The Secretary to the Superintendent of the Board of Education shall provide secretarial assistance as necessary and maintain a confidential file for the Ethics Panel.

9. ADVISORY OPINIONS

(a) Any official or other person subject to the provisions of the Ethics Document may request the Ethics Panel to render an advisory opinion concerning the application of these regulations.
(b) The Ethics Panel shall respond promptly and confidentially to such requests and provide interpretations of the Ethics Regulations based on the facts that are provided or reasonably made available.

10. FORMAL COMPLAINTS

(a) Any complainant may file with the Ethics Panel a complaint form (see attachment), available from the Ethics Panel. Requests for this form, by any complainant, will be held strictly confidential.
(b) A complaint may be referred by the Ethics Panel to the Board Attorney or other legal counsel, if appropriate, for investigation and review.
(c) Following due process all information will be kept confidential.
(d) If after receiving an investigative report the Ethics Panel determines that there are insufficient facts upon which to base a determination of a violation, it may dismiss the complaint.
(e) If there is a reasonable basis for believing a violation has occurred, then the subject of the complaint or the person likely to be impacted by the result of the complaint shall be afforded
an opportunity for a hearing before the Ethics Panel.

(f) Upon receipt of a complaint, the Ethics Panel shall within ten (10) working days notify the parties involved of a hearing date. Said hearing date shall be within thirty (30) calendar days of receipt of the complaint. Parties involved may request that the hearing date be rescheduled, however, the hearing date may only be rescheduled by the Ethics Panel upon good cause.

11. HEARINGS

(a) For purposes of the hearings related to alleged violations of the Ethics Regulations, the Ethics Panel will act as the hearing examiner and conduct the hearing. For purposes of the hearing, the Chairperson of the Ethics Panel or the Chairperson’s designee shall conduct the hearing. The Ethics Panel will be advised by the Board Attorney regarding the rule on motions, objections, and issues of law.

(b) Hearings shall be held during regular working hours to the extent possible.

(c) Hearings shall be held in closed session of the Ethics Panel.

(d) Hearings shall be quasi-judicial in nature and conducted in accordance with recognized principles of administrative due process.

(e) All evidence will be presented on the record and a written transcript of the hearing will be produced.

(f) All testimony will be taken under oath and all parties will be provided with the right to cross-examine witnesses.

(g) The Ethics Panel will have the right to call any employee of the Board of Education to appear at any meeting or hearing. The Ethics Panel will have the right to have copies produced of any relevant document, paper, or other tangible object in the possession of the Allegany County Public Schools and/or the Board of Education.

(h) The Ethics Panel will produce a written report to the Board of Education within ten (10) working days following the hearing. The report will state, where appropriate, that the complaint was dismissed, that a determination was reached that a violation of the Ethics Regulations had occurred, or that evidence was inconclusive and the Panel was unable to reach a final determination.

(i) Any final determination resulting from the hearing will include written findings of fact, a statement of alleged violation, a conclusion of law, and may include recommendations for corrective action, disciplinary or other appropriate personnel action or termination.

B. ETHICS REGULATIONS

The provisions of these regulations apply to all school officials and employees of the Allegany County, Maryland, School System.

1. CONFLICTS OF INTEREST

(a) In this section, “qualified relative” means a spouse, parent, child, or sibling:

(b) Participation.

(1) Except as permitted by Board of Education regulation or in the exercise of an administrative or ministerial duty that does not affect the disposition or decision in the matter, an official may not participate in:

   (i) Any matter in which, to the knowledge of the official, the official or a qualified relative of the official has an interest; or
   (ii) Any matter in which any of the following is a party:

       (A) A business entity in which the official has a direct financial interest of which the official may reasonably be expected to know;
       (B) A business entity for which the official or a qualified relative of the official is an officer, director, trustee, partner, or employee;
       (C) A business entity with which the official or, to the knowledge of the
official, a qualified relative of the official is negotiating or has any arrangement concerning prospective employment.

(D) A business entity that is a party to an existing contract with the school official or which, to the knowledge of the official, a qualified relative of the official, if the contract reasonably could be expected to result in a conflict between the private interests of the official and the school system or Board of Education duties of the official;

(E) An entity, doing business with the Board of Education or school system, in which a direct financial interest is owned by another entity in which the official has a direct financial interest, if the official may be reasonably expected to know of both direct financial interests; or the official may be reasonably expected to know of both direct financial interests; or

(F) A business entity that:

1. The official knows is a creditor or obligee of the official or a qualified relative of the official with respect to a thing of economic value; and
2. As a creditor or obligee, is in a position to directly and substantially affect the interest of the official or qualified relative of the official.

(2) An official who is disqualified from participating under paragraph (1) of this subsection shall disclose the nature and circumstances of the conflict and may participate or act if:

(i) The disqualification leaves a body with less than a quorum capable of acting;
(ii) The disqualified official is required by law to act; or
(iii) The disqualified official is the only person authorized to act.

(c) Employment and financial interest.

(1) Except as permitted by regulation of the Board of Education when the interest is disclosed when the employment does not create a conflict of interest or appearance of conflict, an official may not:

(i) Be employed by or have a financial interest in an entity that is:

   (A) Subject to the authority of the school system or Board of Education; or
   (B) Negotiating or has entered a contract with the school system or Board of Education; or

(ii) Hold any other employment relationship that would impair the impartiality or independence of judgment of the official.

(2) This prohibition does not apply to:

(i) An official whose duties are ministerial, if the private employment or financial interest does not create a conflict of interest or the appearance of a conflict of interest, as permitted in accordance with regulations adopted by the Board of Education; or
(ii) Subject to other provisions of regulation and law, a member of the Board of Education in regard to a financial interest or employment held at the time of the oath of office, if the financial interest or employment: was disclosed on the financial disclosure statement filed with the certificate of candidacy to be a candidate to be a member of the Board.

(d) Post-employment. A former official may not assist or represent any party other than the Board of Education or school system for compensation in a case, contract, or other specific matter
involving the Board of Education or the school system if that matter is one in which the former official significantly participated as an official.

(e) Contingent compensation. Except in a judicial or quasi-judicial proceeding, an official may not assist or represent a party for contingent compensation in any matter before or involving the Board of Education or the school system.

(f) Prestige of office.

(1) An official may not intentionally use the prestige of office or public position for the private gain of that official or the private gain of another.

(2) This subsection does not prohibit the performance of usual and customary constituent services by a member of the Board of Education without additional compensation.

(g) Gifts.

(1) An official may not solicit any gift.

(2) An official may not directly solicit or facilitate the solicitation of a gift, on behalf of another person, from an individual lobbyist.

(3) An official may not knowingly accept a gift, directly or indirectly, from a person that the official knows or has reason to know:

   (i) Is doing business with or seeking to do business with the school system or Board unit with which the official is affiliated.
   (ii) Is subject to the authority of the school system or Board of Education;
   (iii) Is a regulated lobbyist with respect to matters within the jurisdiction of the official; or
   (iv) Has financial interests that may be substantially and materially affected, in a manner distinguishable from the public generally, by the performance or nonperformance of the school system duties of the official.

(4) (i) This paragraph does not apply to a gift:

   (A) That would tend to impair the impartiality and independence of judgment of the official receiving the gift:
   (B) Of significant value that would give the appearance of impairing the impartiality and independent judgment of the official; or
   (C) Of significant value that the recipient official believes or has reason to believe is designed to impair the impartiality and independent judgment of the official.

(ii) Notwithstanding paragraph (3) of this subsection, an official may accept:

   (A) Meals and beverages consumed in the presence of the donor or sponsoring entity
   (B) Ceremonial gifts or awards that have insignificant monetary value;
   (C) Unsolicited gifts of nominal value that do not exceed $20 in cost or trivial items of informational value;
   (D) Reasonable expenses for food, travel, lodging, and scheduled entertainment of the official at a meeting which is given in return for the participation of the official in a panel or speaking engagement at the meeting;
   (E) Gifts of tickets or free admission extended to members of the Board of Education to attend a charitable, cultural, or political event, if the purpose of the gift or admission is a courtesy or ceremony extended to the Board of Education.
   (F) A specific gift or class of gifts which the Panel exempts from the operation of this subsection upon a finding, in writing, that acceptance of the gift or class of gifts would not be detrimental to the impartial conduct of the business of the school system or Board of Education and that the gift is purely personal and private in nature;
(G) Gifts from a person related by blood or marriage, or any other individual who is a member of the household of the official; or
(H) An honorarium for speaking to or participating in a meeting, provided that the offering of the honorarium is in no way related to the school system or Board position of the official.

(h) Disclosure of confidential information. Other than in the discharge of his official duties, an official may not disclose or use confidential information that the official acquired by reason of the official’s public position and that is not available to the public for the economic benefit of the official or the economic benefit or another person.

(i) Procurement.

(1) An individual or person that employs an individual who assists a school system or Board of Education in the drafting of specifications, an invitation for bids, or a request for proposals for a procurement may not submit a bid or proposal for that procurement, or assist or represent another person, directly or indirectly, who is submitting a bid or proposal for the procurement.

(2) The Panel may establish exemptions from the requirements of this section for providing descriptive literature, sole source procurements, and written comments solicited by the procuring unit of the school system.

2. FINANCIAL DISCLOSURE-members of the Board of Education and candidates to be members of the Board of Education.

(a) This section applies to all members of the Board of Education and candidates to be members of the Board of Education.

(b) Except as provided in subsection (d) of this section, a member of the Board or candidate to be a member of the Board shall file the financial disclosure statement required under this subsection:

(1) On a form provided by the Panel;
(2) Under oath or affirmation; and

(c) Deadlines for filing statements.

(1) An incumbent official shall file a financial disclosure statement annually no later than April 30 of each year for the preceding calendar year.

(2) An official who is appointed to fill a vacancy in an office for which a financial disclosure statement is required and who has not already filed a financial disclosure statement shall file a statement for the preceding calendar year within 30 days after appointment.

(3) (i) An individual who, other than by reason of death, leaves an office for which a statement is required shall file a statement within 60 days after leaving the office.

   (ii) The statement shall cover:

   (A) The calendar year immediately preceding the year in which the individual left office, unless a statement covering that year has already been filed by the individual; and
   (B) The portion of the current calendar year during which the individual held the office.

(d) Candidates to be members of the Board of Education.

(1) Except for an official who has filed a financial disclosure statement under another provision of this section for the reporting period, a candidate to be a member of the Board of Education shall file a financial disclosure statement each year beginning with
the year in which the certificate of candidacy is filed through the year of the election.

(2) A candidate to be a member of the Board of Education shall file a statement required under this section:

(i) In the year the certificate of candidacy is filed, no later than the filing of the certificate of candidacy;
(ii) In the year of the election, on or before the earlier of April 30 or the last day for the withdrawal of candidacy; and
(iii) In all other years for which a statement is required, on or before April 30.

(3) A candidate to be a member of the Board of Education:

(i) May file the statement required under §6(d)(2)(i) of this chapter with the Board of Election Supervisors with the certificate of candidacy or with the Panel prior to filing the certificate of candidacy; and
(ii) Shall file the statements required under §6(d)(2)(ii) and (iii) of this chapter with the Panel or the office designated by the Panel.

(4) If a candidate fails to file a statement required by this section after written notice is provided by the Board of Election Supervisors at least 20 days before the last day for the withdrawal of candidacy, the candidate is deemed to have withdrawn the candidacy.

(5) The Board of Election Supervisors may not accept any certificate of candidacy unless a statement required under this section has been filed in proper form.

(6) Within 30 days of the receipt of a statement required under this section, the Board of Election Supervisors shall forward the statement to the Panel, or the office designated by the Panel.

(d) Public record.

(1) The Panel or office designated by the Panel shall maintain all financial disclosure statements filed under this section.

(2) The Panel or office designated by the Panel or Board shall make financial disclosure statements available during normal office hours, for examination and copying by the public subject to reasonable fees and administrative procedures established by the Panel or the Board.

(3) If an individual examines or copies a financial disclosure statement, the Panel or the office designated by the Panel shall record:

(i) The name and home address of the individual reviewing or copying the statement; and
(ii) The name of the person whose financial disclosure statement was examined or copied.

(4) Upon request by the individual whose financial disclosure statement was examined or copied, the Panel or the office designated by the Panel shall provide the individual with a copy of the name and home address of the person who reviewed the individual’s financial disclosure statement.

(e) Retention requirements. The Panel or the office designated by the Panel shall retain financial disclosure statements for four years from the date of receipt.

(f) Contents of statement.

(1) Interests in real property.

(i) A statement filed under this section shall include a schedule of all interests in real property wherever located.
(ii) For each interest in real property, the schedule shall include:
(A) The nature of the property and the location by street address, mailing address, or legal description of the property;
(B) The nature and extent of the interest held, including any conditions and encumbrances on the interest;
(C) The date when the manner in which, and the identity of the person from whom the interest was acquired;
(D) The nature and amount of the consideration given in exchange for the interest or, if acquired other than by purchase, the fair market value of the interest at the time acquired;
(E) If any interest was transferred, in whole or in part, at any time during the reporting period, a description of the interest transferred, the nature and amount of the consideration received for the interest, and the identity of the person to whom the interest was transferred; and
(F) The identity of any other person with an interest in the property.

(2) Interests in corporations and partnerships.

(i) A statement filed under this section shall include a schedule of all interests in any corporation, partnership, limited liability partnership, or limited liability corporation, regardless of whether the corporation or partnership does business with the school system or Board of Education.

(ii) For each interest reported under this paragraph, the schedule shall include:

(A) The name and address of the principal office of the corporation, partnership, limited liability partnership, or limited liability corporation;
(B) The nature and amount of the interest held, including any conditions and encumbrances on the interest;
(C) With respect to any interest transferred, in whole or in part, at any time during the reporting period, a description of the interest transferred, the nature and amount of the consideration received for the interest, and, if known, the identity of the person to whom the interest was transferred; and
(D) With respect to any interest acquired during the reporting period;

1. The date when, the manner in which, and the identity of the person from whom the interest was acquired; and
2. The nature and the amount of the consideration given in exchange for the interest or, if acquired other than by purchase, the fair market value of the interest at the time acquired.

(iii) An individual may satisfy the requirements to report the amount of the interest held under item (ii) (B) of this paragraph by reporting, instead of a dollar amount:

(A) For an equity interest in a corporation, the number of share held and, unless the corporation’s stock is publicly traded, the percentage of equity interest held; or
(B) For an equity interest in a partnership, the percentage of equity interest held.

(3) Interests in business entities doing business with the school system or Board of Education.

(i) A statement filed under this section shall include a schedule of all interests in
any business entity that does business with the school system or Board of Education, other than interests reported under paragraph (2) of this subsection.

(ii) For each interest reported under this paragraph, the schedule shall include:

(A) The name and address of the principal office of the business entity;
(B) The nature and amount of the interest held, including any conditions to and encumbrances on the interest;
(C) With respect to any interest transferred, in whole or in part, at any time during the reporting period, a description of the interest transferred, the nature and amount of the consideration received in exchange for the interest, and, if known, the identity of the person to whom the interest was transferred; and
(D) With respect to any interest acquired during the reporting period:
   1. The date when, the manner in which, and the identity of the person from whom the interest was acquired and
   2. The nature and the amount of consideration given in exchange for the interest or, if acquired other than by purchase, the fair market value of the interest at the time acquired.

(4) Gifts.

(i) A statement filed under this section shall include a schedule of each gift in excess of $20 in value or a series of gifts totaling $100 or more received during the reporting period from or on behalf of, directly or indirectly, any one person who does business with the school system or Board of Education.

(ii) For each gift reported, the schedule shall include:

(A) A description of the nature and value of the gift; and
(B) The identity of the person from whom, or on behalf of whom, directly or indirectly, the gift was received.

(5) Employment with or interest in entities doing business with the school system or Board of Education.

(i) A statement filed under this section shall include a schedule of all offices, directorships, and salaried employment by the individual or member of the immediate family of the individuals held at any time during the reporting period with entities doing business with the school system or Board of Education.

(ii) For each position reported under this paragraph, the schedule shall include:

(A) The name and address of the principal office of the business entity;
(B) The title and nature of the office, directorship, or salaried employment held and the date it commenced; and
(C) The name of each school system or Board unit with which the entity is involved.

(6) Indebtedness to entities doing business with the school system or Board.

(i) A statement filed under this section shall include a schedule of all liabilities, excluding retail credit accounts, to persons doing business with the school system or Board of Education owed at any time during the reporting period:

(A) By the individual; or
(B) By a member of the immediate family of the individual if the individual was involved in the transaction giving rise to the liability.
(ii) For each liability reported under this paragraph, the schedule shall include:

(A) The identity of the person to whom the liability was owed and the date the liability was incurred;
(B) The amount of the liability owed as of the end of the reporting period;
(C) The terms of payment of the liability and the extent to which the principal amount of the liability was increased or reduced during the year; and
(D) The security given, if any, for the liability.

(7) Employment with the school system or Board of Education. A statement filed under this section shall include a schedule of the immediate family members of the individuals employed by the school system or Board of Education in any capacity at any time during the reporting period.

(8) Sources of earned income.

(i) A statement filed under this section shall include a schedule of the name and address of each place of employment and of each business entity of which the individual or a member of the individual’s immediate family was a sole or partial owner and from which the individual or member of the individual’s immediate family received earned income at any time during the reporting period.

(ii) A minor child’s employment or business ownership need not be disclosed if the school system or Board of Education does not regulate, exercise authority over, or contract with the place of employment or business entity of the minor-child.

(9) A statement filed under this section may also include a schedule of additional interests or information that the individual making the statement wishes to disclose.

(g) For the purpose of §6 (g)(1), (2), and (3) of this chapter, the following interests are considered to be the interests of the individual making the statement:

(1) An interest held by a member of the individual’s immediate family, if the interest was, at any time during the reporting period, directly or indirectly controlled by the individual.

(2) An interest held by a business entity in which the individual held a 30% or greater interest at any time during the reporting period.

(3) An interest held by a trust or an estate in which, at any time during the reporting period:

(i) The individual held a reversionary interest or was a beneficiary; or
(ii) If a revocable trust, the individual was a settler.

(h)(1) The Panel shall review the financial disclosure statements submitted under this section for compliance with the provisions of this section and shall notify an individual submitting the statement of any omissions of deficiencies.

(2) The Panel or Board of Education may take appropriate enforcement action to ensure compliance with this section.

3. FINANCIAL DISCLOSURE-Employees and appointed officials

(a) This section only applies to the following appointed officials and employees

Superintendent of Schools
(b) A statement filed under this section shall be filed with the Panel or office designated by the Panel under oath or affirmation.

(c) On or before April 30 of each year during which an official or employee holds office, an official or employee shall file a statement disclosing gifts received during the preceding calendar year from any person that contracts with or is under the authority of the Board of Education or the school system, including the name of the donor of the gift and the approximate retail value at the time of receipt.

(d) An official or employee shall disclose employment and interest that raise conflicts of interest or potential conflicts of interest in connection with a specific proposed action to provide adequate disclosure to the public. Such disclosure shall be made sufficiently in advance of prior to any action by such official or employee to provide adequate disclosure to the public concerning the specific proposed action.

(e) The Panel or office designated by the Panel shall maintain all disclosure statements filed under this section as public records available for public inspection and copying as provided in §4(3) and (f) of this chapter.

4. LOBBYING DISCLOSURE

(a) A person shall file a lobby in registration statement with the Panel or office designated by the Panel if the person:

(1) Personally appears before any school official or employee with the intent to influence that person in performance of the official duties of the official or employee; and

(2) In connection with the intent to influence, expends or reasonably expects to expend in a given calendar year in excess of $50 on food, entertainment, or other gifts for officials and employees of the Board of Education or school system.

(b) A person shall file a registration statement required under this section on or before the later of January 15 of the calendar year or within five days after first performing an act that required registration in the calendar year.

(c) (1) The registration statement shall identify:

(i) The registrant;

(ii) Any other person on whose behalf the registrant acts; and

(iii) The subject matter on which the registrant proposes to make appearances specified in subsection (a) of this section.

(2) The registration statement shall cover a defined registration period not to exceed one calendar year.

(d) Within 30 days after the end of a calendar year during which a person was registered under this section, the person shall file a report with the Panel or office designated by the Panel disclosing:

(1) The value, date, and nature of any food, entertainment, or other gift provided to a school official or employee; and

(2) If a gift or series of gifts to a single official or employee exceeds $50 in value, the identity of the official or employee.

(e) The Panel or office designated by the Panel shall maintain the registrations and reports filed under this section as public records available for public inspection and copying.

5. EXEMPTIONS AND MODIFICATIONS

The Ethics Panel or the Board of Education, as appropriate, may grant exemptions and modifications to the provisions of §§ 3 and 5 of this chapter to employees and appointed officials who are not members of the Board of Education when the Panel or Board of Education determines that application of those provisions is not required to preserve the purposes of this chapter and would:
(a) Constitute an unreasonable invasion of privacy; and
(b) Significantly reduce the availability of qualified persons for public service.

6. SANCTIONS

(a) An official who violates this chapter is subject to discipline, personnel action, or removal from office, as provided by law and consistent with procedures set forth in GBEB.
(b) A person who violates this chapter is subject to the provisions or sanctions in GBEB.
(c) A finding of a violation of this chapter by the Board is public information.